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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	ERVIN, JR.	Examiner:	UNKNOWN
Serial No.:	10/028,952	Group Art Unit:	1614
Filed:	DECEMBER 18, 2001	Docket:	4273.3USW1
Confirmation No.:	5284		
Due Date:	JANUARY 1, 2003 (FEDERAL HOLIDAY)		
Title:	EPITHELIAL CELL GROWTH INHIBITORS		

CERTIFICATE UNDER 37 CFR 1.10:
"Express Mail" mailing label number: EV142562013US
Date of Deposit: January 2, 2003

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: U.S. Patent and Trademark Office, Box Sequence, P.O. Box 2327, Arlington, VA 22202.

By: _____
Name: James Larkin

U.S. Patent and Trademark Office
Box Sequence, P.O. Box 2327
Arlington, VA 22202

Sir:

We are transmitting herewith the attached:

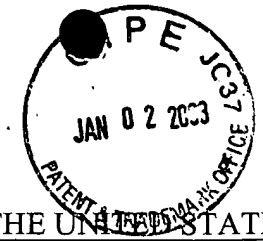
- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Notice to File Missing Parts of NonProvisional Application - Part 2
- ☒ Small entity status has been previously established
- ☒ Signed Combined Declaration and Power of Attorney
- ☒ Response to Notice to File Missing Parts and Certificate Regarding Sequence Listing
- ☒ Check(s) in the amount of $65.00 for Missing Parts Surcharge
- ☒ Computer readable form of Sequence Listing. Applicants state that the paper copy form of the Sequence Listing section of the present application, and the computer readable form submitted herewith, are the same.
- ☒ Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C.
P.O. Box 2903, Minneapolis, MN 55402-0903
612.332.5300



By: Denise M Kettelberger
Name: Denise M. Kettelberger, Ph.D.
Reg. No.: 33,924
DKettelberger:EED:PSTkaw



S/N 10/028,952 PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	ERVIN, JR.	Examiner:	UNKNOWN
Serial No.:	10/028,952	Group Art Unit:	1614
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I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Commissioner for Patents, Washington, D.C. 20231.

By: _____
Name: James Larkin

RESPONSE TO NOTICE TO FILE MISSING PARTS AND CERTIFICATE REGARDING SEQUENCE LISTING

U.S. Patent and Trademark Office
Box Sequence, P.O. Box 2327
Arlington, VA 22202

Dear Sir:

This paper is being filed in response to the Examiner's communication mailed November 1, 2002. In response to the Notice to File Missing Parts and in accordance with the requirements of 37 C.F.R. § 1.821 - § 1.825, Applicant encloses herewith a computer readable form of the Sequence Listing. In addition, a paper copy of the Sequence Listing is also provided. Please insert the paper copy into the file after the Executed Oath or Declaration, as required by 37 C.F.R. § 1.77(a)(15). Applicant hereby states that the computer readable form and the paper copy of the Sequence Listing enclosed do not include new matter.

Pursuant to the Examiner's request, also enclosed is a copy of the Notice to File Missing Parts with the sequence listing rules.

Respectfully submitted,

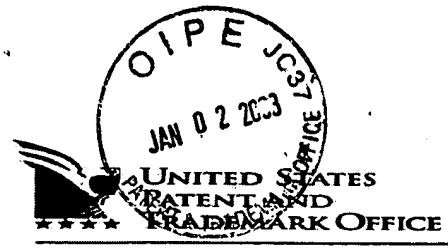
MERCHANT & GOULD P.C.
P.O. Box 2903
Minneapolis, Minnesota 55402-0903
(612) 332-5300

Date: 2 January 2003

Denise M. Kettelberger

Denise M. Kettelberger, Ph.D.
Reg. No. 33,924
DMK:EED:PSTkaw





Commissioner for Patents
Washington, DC 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/028,952	12/18/2001	Paul R. Ervin JR.	4273.3USW1

CONFIRMATION NO. 5284

23552
MERCHANT & GOULD PC
P.O. BOX 2903
MINNEAPOLIS, MN 55402-0903

FORMALITIES LETTER

OC000000009050931

Date Mailed: 11/01/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of $65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

F r questi ns regarding compliance to these requirements, please c ntact:

01/08/2003 MBLANCO 00000006 10028952
65.00 OP
01 FC:2051

- **For Rules Interpretation, call (703) 308-4216**
- **To Purchase PatentIn S ftware, call (703) 306-2600**
- **For PatentIn S ftware Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.g v**

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **$65** for a Small Entity

- **$65** Late oath or declaration Surcharge.

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE